

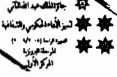


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الرقم 2011/10/24

التاريخ

الموافق



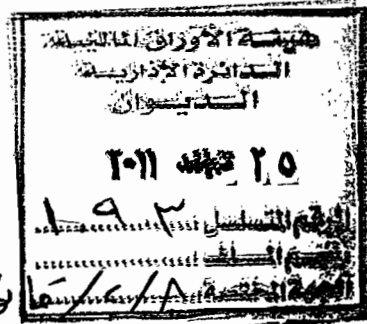
معالي رئيس هيئة الأوراق المالية
عطوفة محافظ البنك المركزي
عطوفة مدير عام دائرة الجمارك الأردنية
عطوفة مدير عام دائرة ضريبة الدخل والمبيعات
عطوفة مدير عام دائرة الأراضي والمساحة

أبعث إليكم بصورة عن كتاب معالي وزير الخارجية رقم
55397/566/9 تاريخ 2011/10/13 ومرفقه صورة عن كتاب سعادة القائم
بالأعمال بالانابة في نيويورك ومرفقه صورة عن مذكرة رئيس لجنة مجلس الأمن
المنشأة بموجب قراري المجلس رقم 1267 (1999) و 1989 (2011) والمتضمن
الإشارة الى تعديل نظام العقوبات والقوائم الموحدة التي كانت تحت إشراف لجنة
مجلس الأمن المنشأة بموجب القرار 1267 (1999).

أرجو معاليكم/عطوفتكم التكرم بالإطلاع والإيعاز لإجراء اللازم.

واقبلوا فائق الاحترام،،

وزير المالية



أمانة

Heba50-ah



وزارة الخارجية

الرقم ٥٥٢٩٧/٥٦٦/٩
التاريخ ٢٠١١/١٠/١٢
الموافق

وزارة المالية - الديوان العام

الوارد : 31802

التاريخ : 2011/10/16 الوقت: 02:19

يحول إلى : مستشارين معالي الوزير

معالي وزير المالية

معالي وزير الداخلية

عطوفة محافظ البنك المركزي

عطوفة مدير المخابرات العامة

عطوفة مدير الأمن العام

أرفق اليكم صورة عن كتاب سعادة القائم بالأعمال بالانابة في نيويورك رقم م 2056/2/أ تاريخ 2011/10/3 ومرفقه صورة عن مذكرة رئيس لجنة مجلس الأمن المنشأة بموجب قراري المجلس رقم 1267 (1999) و(2011)1989، رقم SCA/2/11(23) تاريخ 2011/9/27 والمتضمنة الاشارة الى تعديل نظام العقوبات والقوائم الموحدة التي كانت تحت اشراف لجنة مجلس الأمن المنشأة بموجب القرار 1267 (1999) وذلك كما يلي :

- القائمة الموحدة الخاصة بالقاعدة والأفراد والكيانات المرتبطة بها ستكون من اختصاص لجنة مجلس الأمن المنشأة بموجب قراري مجلس الأمن 1267(1999) و1989 (2011) على أن تبقى القائمة متوفرة على الموقع الالكتروني

<http://www.un.org.sc.committees/1267>

م



الجمهورية الأردنية الهاشمية
مملكة

م 2 / 1 / 2056

الرقم

2011 / 10 / 3

التاريخ

الموافق

معالي وزير الخارجية
إدارة الشؤون القانونية

ارفق لكم نسخة من مذكرة رئيس لجنة مجلس الامن المنشأة بموجب قرار مجلس الامن 1267(1999) و 1989(2011) رقم SCA/2/11(23) تاريخ 2011/9/27 والمتضمنة معلومات حول تنفيذ قرار مجلس الامن 1989(2011) - مرفق - فيما يخص تعديل نظام العقوبات والقائمة الموحدة والتي كانت تحت اشراف لجنة مجلس الامن المنشأة عملاً بالقرار 1267(1999) بشأن تنظيم القاعدة وحركة طالبان والافراد والكيانات المرتبطة بهما. تشير المذكرة الى انه تم تعديل نظام العقوبات والقوائم على النحو التالي:

1) القائمة الموحدة الخاصة بالقاعدة والافراد والكيانات المرتبطة بها ستكون من اختصاص لجنة مجلس الامن المنشأة بموجب قرار مجلس الامن 1267(1999) و 1989(2011) على ان تبقى القائمة متوفرة على الموقع الالكتروني.

<http://www.un.org/sc/committees/1267/>

2) القائمة الموحدة الخاصة بحركة الطالبان والافراد والكيانات المرتبطة بها ستكون من اختصاص لجنة مجلس الامن المنشأة بموجب قرار مجلس الامن 1988(2011).

كما تبيين المذكرة نظام الجزاءات المفروضة على القاعدة بموجب القرار 1989(2011) من تدابير وعملية الإدراج والشطب من القائمة. كما تنوه الى العناصر الجديدة من هذا القرار والواردة في الفقرات 14، 21، 22، 23، 26، 27، 28، 29 و 50.

راجياً معاليكم التكرم بالاطلاع والايجاز باجراء ما ترونه مناسباً.

وتفضلوا بقبول فائق الاحترام

القائم بالاعمال بالانابة

ايهاب عيسى

م ط / ز ش

ش ر ط

REFERENCE: SCA/2/11(23)

The Chairman of the Security Council Committee pursuant to resolutions 1267 (1999) and 1989 (2011) (hereafter referred to as "the Committee") presents his compliments to the Permanent Representatives of Member States and to the Permanent Observers of Non-Member States to the United Nations.

The Chairman has the honour to convey the following information regarding the implementation of Security Council resolution 1989 (2011), the adoption of which has modified the sanctions regime and the Consolidated List previously overseen by the Security Council Committee established pursuant to resolution 1267 (1999) concerning Al-Qaida and the Taliban and associated individuals and entities. A copy of the resolution is attached for ease of reference.

On 30 June 2011, the Security Council decided to change the name of the Committee in light of the change to its mandate. Detailed information about the Committee, including updates to the Al-Qaida Sanctions List, remains available on the website of the Committee: <http://www.un.org/sc/committees/1267/>.

MODIFICATION OF SANCTIONS REGIME AND LISTS

On 17 June 2011, with the adoption of Security Council resolution 1988 (2011), the Consolidated List was split into two separate lists: the names previously included in sections A ("Individuals associated with the Taliban") and B ("Entities and other groups and undertakings associated with the Taliban") of the Consolidated List are now included on the List of individuals and entities established pursuant to Security Council resolution 1988 (2011), also known as the "1988 Sanctions List", which is maintained by the Committee established by the same resolution.

The names previously included in sections C ("Individuals associated with Al-Qaida") and D ("Entities and other groups and undertakings associated with Al-Qaida") of the Consolidated List are now included on the Al-Qaida Sanctions List, which is maintained by this Committee. Henceforth the Al-Qaida Sanctions List shall include only the names of those individuals, groups, undertakings and entities associated with Al-Qaida.

In accordance with paragraph 3 of resolution 1989 (2011), the Committee has transmitted to the Committee established pursuant to resolution 1988 (2011) all listing submissions, delisting requests and proposed updates to the existing information relevant to sections A ("Individuals associated with the Taliban") and B ("Entities and other groups and undertakings associated with the Taliban") of the Consolidated List that were pending as of 17 June 2011, so that the appropriate Committee can consider those matters in accordance with resolution 1988 (2011). States should address any communications related to names previously included in sections A ("Individuals associated with the Taliban") and B ("Entities and other groups and undertakings associated with the Taliban") of the Consolidated List to the Committee established pursuant to resolution 1988 (2011).

Annex enclosed

RESOLUTION 1989 (2011) – MEASURES

The Security Council has decided that all States shall implement the mandatory assets freeze, travel ban, and arms embargo measures set out in paragraph 1 of resolution 1989 (2011) with respect to the individuals and entities whose names are included in the Al-Qaida Sanctions List.

LISTING

In resolution 1989 (2011) the Security Council reaffirmed that acts or activities indicating that an individual, group, undertaking, or entity is associated with Al-Qaida include:

- (a) Participating in the financing, planning, facilitating, preparing or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of;
- (b) Supplying, selling or transferring arms and related materiel to;
- (c) Recruiting for, or otherwise supporting acts or activities of Al-Qaida or any cell, affiliate, splinter group or derivative thereof.

The Chairman wishes to recall that Member States are encouraged to submit to the Committee for inclusion on the Al-Qaida Sanctions List names of individuals, groups, undertakings and entities participating, by any means, in the financing or support of acts or activities of Al-Qaida, and other individuals, groups, undertakings and entities associated with it. The Security Council has reiterated that, when proposing names to the Committee for inclusion on the Al-Qaida Sanctions List, Member States shall provide the Committee with as much relevant information as possible on the proposed name, in particular sufficient identifying information to allow for the accurate and positive identification of individuals, groups, undertakings and entities.

In this connection, the Chairman further recalls that, when proposing names to the Committee for inclusion on the Al-Qaida Sanctions List, Member States shall also provide a detailed statement of case, and that the statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 16 of resolution 1989 (2011).

DELISTING

Member States may submit to the Committee requests for the removal of individuals and entities from the Al-Qaida Sanctions List, in accordance with the Guidelines of the Committee: http://www.un.org/sc/committees/1267/pdf/1267_guidelines.pdf. Individuals and entities seeking removal from the List without the sponsorship of a Member State are eligible to submit such requests through the Office of the Ombudsperson (<http://www.un.org/en/sc/ombudsperson/>). Member States are not permitted to submit delisting petitions on behalf of an individual, group, undertaking or entity to the Office of the Ombudsperson.

In resolution 1989 (2011), the Security Council expressed its intent to continue efforts to ensure that procedures are fair and clear, noted the Ombudsperson's important role in improving fairness and transparency, and introduced enhanced procedures for the Ombudsperson to present a recommendation to retain the listing or a recommendation that the Committee consider delisting. In this connection, the Chairman wishes to underline that in the same resolution the Security Council requested that Member States and relevant international organizations and bodies encourage individuals and entities that are considering challenging or are already in the process of challenging their listing through national and regional courts to seek removal from the Al-Qaida Sanctions List by submitting delisting petitions to the Office of the Ombudsperson.

In an additional modification of its delisting procedures, the Security Council decided, in paragraph 27 of resolution 1989 (2011), that when the designating State submits a de-listing request, the concerned individual, group, undertaking or entity will be removed from the Al-Qaida Sanctions List after 60 days, unless the Committee unanimously affirms that the listing of the concerned individual, group, undertaking or entity remains appropriate; provided that in cases where consensus does not exist, the Chair shall, on the request of a Committee member submit the question of whether to delist that individual, group, undertaking or entity to the Security Council for a decision within a period of 60 days.

OTHER PROVISIONS

To ensure that the Al-Qaida Sanctions List is as updated and accurate as possible, the Committee has been requested to review each entry on the List on a regular basis, including, as appropriate, through reviews of individuals whose entries lack identifiers, individuals who are reportedly deceased, and entities reported or confirmed to have ceased to exist. In this context, relevant Member States may be contacted by the Committee or the Monitoring Team as part of the conduct of these reviews.

Through this note verbale, the Chairman also wishes to draw attention to those new elements of resolution 1989 (2011), contained in paragraphs 14, 21, 22, 23, 26, 27, 28, 29 and 50, that are of particular relevance to Member States. The Chairman would also like to note that the Committee is currently reviewing, as a matter of priority, the guidelines for the conduct of its work to incorporate the new provisions introduced by resolution 1989 (2011).

The Chairman would like to take this opportunity to reiterate that the Committee and the Analytical Support and Sanctions Monitoring Team stand ready to assist Member States in all issues related to the Al-Qaida sanctions regime.

27 September 2011

T. C. H.



Resolution 1989 (2011)

**Adopted by the Security Council at its 6557th meeting, on
17 June 2011**

The Security Council,

Recalling its resolutions 1267 (1999), 1333 (2000), 1363 (2001), 1373 (2001), 1390 (2002), 1452 (2002), 1455 (2003), 1526 (2004), 1566 (2004), 1617 (2005), 1624 (2005), 1699 (2006), 1730 (2006), 1735 (2006), 1822 (2008), 1904 (2009) and 1988 (2011), and the relevant statements of its President,

Reaffirming that terrorism in all its forms and manifestations constitutes one of the most serious threats to peace and security and that any acts of terrorism are criminal and unjustifiable regardless of their motivations, whenever and by whomsoever committed, and *reiterating* its unequivocal condemnation of Al-Qaida and other individuals, groups, undertakings and entities associated with it, for ongoing and multiple criminal terrorist acts aimed at causing the deaths of innocent civilians and other victims, destruction of property and greatly undermining stability,

Reaffirming that terrorism cannot and should not be associated with any religion, nationality or civilization,

Recalling the Presidential Statement of the Security Council (S/PRST/2011/9) of 2 May 2011 which notes that Usama bin Laden will no longer be able to perpetrate acts of terrorism,

Reaffirming the need to combat by all means, in accordance with the Charter of the United Nations and international law, including applicable international human rights, refugee and humanitarian law, threats to international peace and security caused by terrorist acts, stressing in this regard the important role the United Nations plays in leading and coordinating this effort,

Expressing concern at the increase in incidents of kidnapping and hostage-taking by terrorist groups with the aim of raising funds, or gaining political concessions, and *expressing* the need for this issue to be addressed,

Stressing that terrorism can only be defeated by a sustained and comprehensive approach involving the active participation and collaboration of all States, and

* Reissued for technical reasons on 1 July 2011.



international and regional organizations to impede, impair, isolate and incapacitate the terrorist threat,

Emphasizing that sanctions are an important tool under the Charter of the United Nations in the maintenance and restoration of international peace and security, and stressing in this regard the need for robust implementation of the measures in paragraph 1 of this resolution as a significant tool in combating terrorist activity,

Urging all Member States to participate actively in maintaining and updating the list created pursuant to resolutions 1267 (1999) and 1333 (2000) ("the Consolidated List") by contributing additional information pertinent to current listings, submitting delisting requests when appropriate, and by identifying and nominating for listing additional individuals, groups, undertakings and entities which should be subject to the measures referred to in paragraph 1 of this resolution,

Reminding the Committee established pursuant to resolution 1267 (1999) ("the Committee") to remove expeditiously and on a case-by-case basis individuals and entities that no longer meet the criteria for listing outlined in this resolution,

Recognizing the challenges, both legal and otherwise, to the measures implemented by Member States under paragraph 1 of this resolution, *welcoming* improvements to the Committee's procedures and the quality of the Consolidated List, and *expressing* its intent to continue efforts to ensure that procedures are fair and clear,

Welcoming in particular the successful completion of the review of all names on the Consolidated List pursuant to paragraph 25 of resolution 1822 (2008) and the significant progress made to enhance the integrity of the Consolidated List,

Welcoming the establishment of the Office of the Ombudsperson pursuant to resolution 1904 (2009) and the role it has performed since its establishment, *noting* the Ombudsperson's important role in improving fairness and transparency, *recalling* the Security Council's firm commitment to ensuring that the Office of the Ombudsperson is able to continue to carry out its role effectively, in accordance with its mandate, and *recalling also* the Presidential Statement of the Security Council (S/PRST/2011/5) of 28 February 2011,

Reiterating that the measures referred to in paragraph 1 of this resolution are preventative in nature and are not reliant upon criminal standards set out under national law,

Welcoming the second review in September 2010 by the General Assembly of the United Nations Global Counter-Terrorism Strategy (A/RES/60/288) of 8 September 2006 and the creation of the Counter-Terrorism Implementation Task Force (CTITF) to ensure overall coordination and coherence in the counter-terrorism efforts of the United Nations system,

Welcoming the continuing cooperation between the Committee and INTERPOL, the United Nations Office on Drugs and Crime, in particular on technical assistance and capacity building, and all other UN bodies, and *encouraging* further engagement with the CTITF to ensure overall coordination and coherence in the counter-terrorism efforts of the UN system,

Recognizing the need to take measures to prevent and suppress the financing of terrorism and terrorist organizations, including from the proceeds of organized crime, inter alia, the illicit production and trafficking of drugs and their chemical precursors, and the importance of continued international cooperation to that aim,

Noting with concern the continued threat posed to international peace and security by Al-Qaida and other individuals, groups, undertakings and entities associated with it, *reaffirming* its resolve to address all aspects of that threat, and *considering* the 1267 Committee's deliberations on the recommendation of the 1267 Monitoring Team in its Eleventh Report to the 1267 Committee that Member States treat listed Taliban and listed individuals and entities of Al-Qaida and its affiliates differently,

Noting that, in some instances, certain individuals, groups, undertakings and entities that meet the criteria for listing set forth in paragraph 3 of resolution 1988 (2011) may also meet the criteria for listing set forth in paragraph 4 of this resolution,

Acting under Chapter VII of the Charter of the United Nations,

Measures

1. *Decides* that all States shall take the measures as previously imposed by paragraph 8 (c) of resolution 1333 (2000), and paragraphs 1 and 2 of resolution 1390 (2002), with respect to Al-Qaida and other individuals, groups, undertakings and entities associated with them, including those referred to in section C ("Individuals associated with Al-Qaida") and section D ("Entities and other groups and undertakings associated with Al-Qaida") of the Consolidated List established pursuant to resolutions 1267 (1999) and 1333 (2000), as well as those designated after the date of adoption of this resolution, which shall henceforth be known as the Al-Qaida Sanctions List*):

(a) Freeze without delay the funds and other financial assets or economic resources of these individuals, groups, undertakings and entities, including funds derived from property owned or controlled directly or indirectly, by them or by persons acting on their behalf or at their direction, and ensure that neither these nor any other funds, financial assets or economic resources are made available, directly or indirectly for such persons' benefit, by their nationals or by persons within their territory;

(b) Prevent the entry into or transit through their territories of these individuals, provided that nothing in this paragraph shall oblige any State to deny entry or require the departure from its territories of its own nationals and this paragraph shall not apply where entry or transit is necessary for the fulfilment of a judicial process or the Committee determines on a case-by-case basis only that entry or transit is justified;

(c) Prevent the direct or indirect supply, sale, or transfer to these individuals, groups, undertakings and entities from their territories or by their nationals outside their territories, or using their flag vessels or aircraft, of arms and related materiel of all types including weapons and ammunition, military vehicles and equipment, paramilitary equipment, and spare parts for the aforementioned, and technical advice, assistance or training related to military activities;

2. *Notes* that, pursuant to resolution 1988 (2011), the Taliban, and other individuals, groups, undertakings and entities associated with them, as previously included in section A ("Individuals associated with the Taliban") and section B ("Entities and other groups and undertaking associated with the Taliban") of the Consolidated List established pursuant to resolutions 1267 (1999) and 1333 (2000) are not governed by this resolution and *decides* that henceforth the Al-Qaida Sanctions List shall include only the names of those individuals, groups, undertakings and entities associated with Al-Qaida;

3. *Directs* the Committee to transmit to the Committee established pursuant to resolution 1988 (2011) all listing submissions, delisting requests and proposed updates to the existing information relevant to section A ("Individuals associated with the Taliban") and section B ("entities and other groups and undertakings associated with the Taliban") of the Consolidated List that were pending before the Committee as of the date of adoption of this resolution, so that the Committee established pursuant to resolution 1988 (2011) can consider those matters in accordance with resolution 1988 (2011);

4. *Reaffirms* that acts or activities indicating that an individual, group, undertaking or entity is associated with Al-Qaida include:

(a) participating in the financing, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of;

(b) supplying, selling or transferring arms and related materiel to;

(c) recruiting for, or otherwise supporting acts or activities of Al-Qaida or any cell, affiliate, splinter group or derivative thereof;

5. *Further reaffirms* that any undertaking or entity owned or controlled, directly or indirectly, by, or otherwise supporting, such an individual, group, undertaking or entity associated with Al-Qaida shall be eligible for designation;

6. *Confirms* that the requirements in paragraph 1 (a) above apply to financial and economic resources of every kind, including but not limited to those used for the provision of Internet hosting or related services, used for the support of Al-Qaida and other individuals, groups, undertakings or entities associated with it;

7. *Notes* that such means of financing or support include but are not limited to the use of proceeds derived from crime, including the illicit cultivation, production and trafficking of narcotic drugs and their precursors;

8. *Confirms* further that the requirements in paragraph 1 (a) above shall also apply to the payment of ransoms to individuals, groups, undertakings or entities on the Al-Qaida Sanctions List;

9. *Decides* that Member States may permit the addition to accounts frozen pursuant to the provisions of paragraph 1 above of any payment in favour of listed individuals, groups, undertakings or entities, provided that any such payments continue to be subject to the provisions in paragraph 1 above and are frozen;

10. *Encourages* Member States to make use of the provisions regarding available exemptions to the measures in paragraph 1 (a) above, set out in paragraphs 1 and 2 of resolution 1452 (2002), as amended by resolution 1735 (2006), and *directs* the Committee to review the procedures for exemptions as set out in the Committee's

guidelines to facilitate their use by Member States and to continue to ensure that exemptions are granted expeditiously and transparently;

11. *Directs* the Committee to cooperate with other relevant Security Council Sanctions Committees, in particular that established pursuant to resolution 1988 (2011);

Listing

12. *Encourages* all Member States to submit to the Committee for inclusion on the Al-Qaida Sanctions List names of individuals, groups, undertakings and entities participating, by any means, in the financing or support of acts or activities of Al-Qaida, and other individuals, groups, undertakings and entities associated with it, as described in paragraph 2 of resolution 1617 (2005) and reaffirmed in paragraph 4 above;

13. *Reaffirms* that, when proposing names to the Committee for inclusion on the Al-Qaida Sanctions List, Member States shall act in accordance with paragraph 5 of resolution 1735 (2006) and paragraph 12 of resolution 1822 (2008), and provide a detailed statement of case, and *decides further* that the statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 16 below;

14. *Decides* that Member States proposing a new designation, as well as Member States that have proposed names for inclusion on the Al-Qaida Sanctions List before the adoption of this resolution, shall specify whether the Committee, or the Ombudsperson, or the Secretariat or Monitoring Team on the Committee's behalf, may make known the Member State's status as a designating State; and *strongly encourages* designating States to respond positively to such a request;

15. *Decides* that Member States, when proposing names to the Committee for inclusion on the Al-Qaida Sanctions List shall use the standard form for listing, and provide the Committee with as much relevant information as possible on the proposed name, in particular sufficient identifying information to allow for the accurate and positive identification of individuals, groups, undertakings and entities, and to the extent possible, the information required by Interpol to issue a Special Notice, and *directs* the Committee to update, as necessary, the standard form for listing in accordance with the provisions of this resolution; and *further directs* the Monitoring Team to report to the Committee on further steps that could be taken to improve identifying information;

16. *Welcomes* efforts by the Committee, with the assistance of the Monitoring Team and in coordination with the relevant designating States, to make accessible on the Committee's website, at the same time a name is added to the Al-Qaida Sanctions List, a narrative summary of reasons for listing for the corresponding entry, and *directs* the Committee, with the assistance of the Monitoring Team and in coordination with the relevant designating States, to continue its efforts to make accessible on the Committee's website narrative summaries of reasons for all listings;

17. *Encourages* Member States and relevant international organizations and bodies to inform the Committee of any relevant court decisions and proceedings so

that the Committee can consider them when it reviews a corresponding listing or updates a narrative summary of reasons for listing;

18. *Calls upon* all members of the Committee and the Monitoring Team to share with the Committee any information they may have available regarding a listing request from a Member State so that this information may help inform the Committee's decision on designation and provide additional material for the narrative summary of reasons for listing described in paragraph 16;

19. *Reaffirms* that the Secretariat shall, after publication but within 3 working days after a name is added to the Al-Qaida Sanctions List, notify the Permanent Mission of the country or countries where the individual or entity is believed to be located and, in the case of individuals, the country of which the person is a national (to the extent this information is known), in accordance with paragraph 10 of resolution 1735 (2006), *requests* the Secretariat to publish on the Committee's website all relevant publicly releasable information, including the narrative summary of reasons for listing, immediately after a name is added to the Al-Qaida Sanctions List, and *highlights* the importance of making the narrative summary of reasons for listing available in all official languages of the United Nations in a timely manner;

20. *Reaffirms* further the provisions in paragraph 17 of resolution 1822 (2008) regarding the requirement that Member States take all possible measures, in accordance with their domestic laws and practices, to notify or inform in a timely manner the listed individual or entity of the designation and to include with this notification the narrative summary of reasons for listing, a description of the effects of designation, as provided in the relevant resolutions, the Committee's procedures for considering delisting requests, including the possibility of submitting such a request to the Ombudsperson in accordance with paragraph 21 and Annex II of this resolution, and the provisions of resolution 1452 (2002) regarding available exemptions;

Delisting/Ombudsperson

21. *Decides* to extend the mandate of the Office of the Ombudsperson, established by resolution 1904 (2009), as reflected in the procedures outlined in Annex II of this resolution, for a period of 18 months from the date of adoption of this resolution, *decides* that the Ombudsperson shall continue to receive requests from individuals, groups, undertakings or entities seeking to be removed from the Al-Qaida Sanctions List in an independent and impartial manner and shall neither seek nor receive instructions from any government, and *decides* that the Ombudsperson shall present to the Committee observations and a recommendation on the delisting of those individuals, groups, undertakings or entities that have requested removal from the Al-Qaida Sanctions List through the Office of the Ombudsperson, either a recommendation to retain the listing or a recommendation that the Committee consider delisting;

22. *Decides* that the requirement for States to take the measures described in paragraph 1 of this resolution shall remain in place with respect to that individual, group, undertaking or entity, where the Ombudsperson recommends retaining the listing in the Comprehensive Report of the Ombudsperson on a delisting request pursuant to annex II;

23. *Decides* that the requirement for States to take the measures described in paragraph 1 of this resolution shall terminate with respect to that individual, group, undertaking or entity 60 days after the Committee completes consideration of a Comprehensive Report of the Ombudsperson, in accordance with annex II of this resolution, including paragraph 6 (h) thereof, where the Ombudsperson recommends that the Committee consider delisting, unless the Committee decides by consensus before the end of that 60 day period that the requirement shall remain in place with respect to that individual, group, undertaking or entity; *provided* that, in cases where consensus does not exist, the Chair shall, on the request of a Committee Member, submit the question of whether to delist that individual, group, undertaking or entity to the Security Council for a decision within a period of 60 days; and *provided further* that, in the event of such a request, the requirement for States to take the measures described in paragraph 1 of this resolution shall remain in force for that period with respect to that individual, group, undertaking or entity until the question is decided by the Security Council;

24. *Requests* the Secretary General to strengthen the capacity of the Office of the Ombudsperson to ensure its continued ability to carry out its mandate in an effective and timely manner;

25. *Strongly urges* Member States to provide all relevant information to the Ombudsperson, including providing any relevant confidential information, where appropriate, and *confirms* that the Ombudsperson must comply with any confidentiality restrictions that are placed on such information by Member States providing it;

26. *Requests* that Member States and relevant international organizations and bodies encourage individuals and entities that are considering challenging or are already in the process of challenging their listing through national and regional courts to seek removal from the Al-Qaida Sanctions List by submitting delisting petitions to the Office of the Ombudsperson;

27. *Decides* that when the designating State submits a delisting request, the requirement for States to take the measures described in paragraph 1 of this resolution shall terminate with respect to that individual, group, undertaking or entity after 60 days unless the Committee decides by consensus before the end of that 60 day period that the measures shall remain in place with respect to that individual, group, undertaking or entity; *provided* that, in cases where consensus does not exist, the Chair shall, on the request of a Committee Member, submit the question of whether to delist that individual, group, undertaking or entity to the Security Council for a decision within a period of 60 days; and *provided further* that, in the event of such a request, the requirement for States to take the measures described in paragraph 1 of this resolution shall remain in force for that period with respect to that individual, group, undertaking or entity until the question is decided by the Security Council;

28. *Decides* that, for purposes of submitting a delisting request in paragraph 27, consensus must exist between or among all designating States in cases where there are multiple designating States; and *decides further* that co-sponsors of listing requests shall not be considered designating States for purposes of paragraph 27;

29. *Strongly urges* designating States to allow the Ombudsperson to reveal their identities as designating States, to those listed individuals and entities that have submitted delisting petitions to the Ombudsperson;

30. *Directs* the Committee to continue to work, in accordance with its guidelines, to consider delisting requests of Member States for the removal from the Al-Qaida Sanctions List of individuals, groups, undertakings and entities that are alleged to no longer meet the criteria established in the relevant resolutions, and set out in paragraph 4 of the present resolution, which shall be placed on the Committee's agenda upon request of a member of the Committee, and *encourages* Member States to provide reasons for submitting their delisting requests;

31. *Encourages* States to submit delisting requests for individuals that are officially confirmed to be dead, particularly where no assets are identified, and for entities reported or confirmed to have ceased to exist, while at the same time taking all reasonable measures to ensure that the assets that had belonged to these individuals or entities have not been or will not be transferred or distributed to other individuals, groups, undertakings and entities on the Al-Qaida Sanctions List;

32. *Encourages* Member States, when unfreezing the assets of a deceased individual or an entity that is reported or confirmed to have ceased to exist as a result of a delisting, to recall the obligations set forth in resolution 1373 (2001) and, particularly, to prevent unfrozen assets from being used for terrorist purposes;

33. *Calls upon* the Committee when considering delisting requests to give due consideration to the opinions of designating State(s), State(s) of residence, nationality, location or incorporation, and other relevant States as determined by the Committee, *directs* Committee members to provide their reasons for objecting to delisting requests at the time the request is objected to, and *calls upon* the Committee to share its reasons with relevant Member States and national and regional courts and bodies, where appropriate;

34. *Encourages* all Member States, including designating States and States of residence and nationality, to provide all information to the Committee relevant to the Committee's review of delisting petitions, and to meet with the Committee, if requested, to convey their views on delisting requests, and further *encourages* the Committee, where appropriate, to meet with representatives of national or regional organizations and bodies that have relevant information on delisting petitions;

35. *Confirms* that the Secretariat shall, within 3 days after a name is removed from the Al-Qaida Sanctions List, notify the Permanent Mission of the State(s) of residence, nationality, location or incorporation (to the extent this information is known), and *decides* that States receiving such notification shall take measures, in accordance with their domestic laws and practices, to notify or inform the concerned individual or entity of the delisting in a timely manner;

Review and maintenance of the Al-Qaida Sanctions List

36. *Encourages* all Member States, in particular designating States and States of residence or nationality, to submit to the Committee additional identifying and other information, along with supporting documentation, on listed individuals, groups, undertakings and entities, including updates on the operating status of listed entities, groups and undertakings, the movement, incarceration or death of listed individuals and other significant events, as such information becomes available;

37. *Requests* the Monitoring Team to circulate to the Committee every six months a list of individuals and entities on the Al-Qaida Sanctions List whose entries lack identifiers necessary to ensure effective implementation of the measures imposed upon them, and *directs* the Committee to review these listings to decide whether they remain appropriate;

38. *Reaffirms* that the Monitoring Team should circulate to the Committee every six months a list of individuals on the Al-Qaida Sanctions List who are reportedly deceased, along with an assessment of relevant information such as the certification of death, and to the extent possible, the status and location of frozen assets and the names of any individuals or entities who would be in a position to receive any unfrozen assets, *directs* the Committee to review these listings to decide whether they remain appropriate, and *calls upon* the Committee to remove listings of deceased individuals, where credible information regarding death is available;

39. *Reaffirms* that the Monitoring Team should circulate to the Committee every six months a list of entities on the Al-Qaida Sanctions List that are reported or confirmed to have ceased to exist, along with an assessment of any relevant information, *directs* the Committee to review these listings to decide whether they remain appropriate, and *calls upon* the Committee to remove such listings where credible information is available;

40. *Further directs* the Committee, in light of the completion of the review described in paragraph 25 of resolution 1822 (2008), to conduct an annual review of all names on the Al-Qaida Sanctions List that have not been reviewed in three or more years ("the triennial review"), in which the relevant names are circulated to the designating States and States of residence, nationality, location or incorporation, where known, pursuant to the procedures set forth in the Committee guidelines, to ensure the Al-Qaida Sanctions List is as updated and accurate as possible through identifying listings that no longer remain appropriate and confirming listings that remain appropriate, and *notes* that the Committee's consideration of a delisting request after the date of adoption of this resolution, pursuant to the procedures set out in Annex II of this resolution, should be considered equivalent to a review conducted pursuant to paragraph 26 of resolution 1822 (2008);

Measures implementation

41. *Reiterates* the importance of all States identifying, and if necessary introducing, adequate procedures to implement fully all aspects of the measures described in paragraph 1 above; and recalling paragraph 7 of resolution 1617 (2005), strongly *urges* all Member States to implement the comprehensive international standards embodied in the Financial Action Task Force's (FATF) Forty Recommendations on Money Laundering and the FATF Nine Special Recommendations on Terrorist Financing, and *encourages* Member States to utilize the guidance provided by Special Recommendation III for effective implementation of targeted counter-terrorism sanctions;

42. *Directs* the Committee to continue to ensure that fair and clear procedures exist for placing individuals and entities on the Al-Qaida List and for removing them as well as for granting exemptions per resolution 1452 (2002), and *directs* the Committee to keep its guidelines under active review in support of these objectives;

43. *Directs* the Committee, as a matter of priority, to review its guidelines with respect to the provisions of this resolution, in particular paragraphs 10, 12, 14, 15, 17, 21, 23, 27, 28, 30, 33, 37, and 40;

44. *Encourages* Member States, including through their permanent missions, and relevant international organizations to meet the Committee for in-depth discussion on any relevant issues;

45. *Requests* the Committee to report to the Council on its findings regarding Member States' implementation efforts, and identify and recommend steps necessary to improve implementation;

46. *Directs* the Committee to identify possible cases of non-compliance with the measures pursuant to paragraph 1 above and to determine the appropriate course of action on each case, and *requests* the Chair, in periodic reports to the Council pursuant to paragraph 55 below, to provide progress reports on the Committee's work on this issue;

47. *Urges* all Member States, in their implementation of the measures set out in paragraph 1 above, to ensure that fraudulent, counterfeit, stolen and lost passports and other travel documents are invalidated and removed from circulation, in accordance with domestic laws and practices, as soon as possible, and to share information on those documents with other Member States through the INTERPOL database;

48. *Encourages* Member States to share, in accordance with their domestic laws and practices, with the private sector information in their national databases related to fraudulent, counterfeit, stolen and lost identity or travel documents pertaining to their own jurisdictions, and, if a listed party is found to be using a false identity including to secure credit or fraudulent travel documents, to provide the Committee with information in this regard;

49. *Confirms* that no matter should be left pending before the Committee for a period longer than six months, unless the Committee determines on a case-by-case basis that extraordinary circumstances require additional time for consideration, in accordance with the Committee's guidelines;

50. *Encourages* designating States to inform the Monitoring Team whether a national court or other legal authority has reviewed an individual's case and whether any judicial proceedings have begun, and to include any other relevant information when it submits its standard form for listing;

51. *Requests* the Committee to facilitate, through the Monitoring Team or specialized UN agencies, assistance on capacity building for enhancing implementation of the measures, upon request by Member States;

Coordination and outreach

52. *Reiterates* the need to enhance ongoing cooperation among the Committee, the Counter-Terrorism Committee (CTC) and the Committee established pursuant to resolution 1540 (2004), as well as their respective groups of experts, including through, as appropriate, enhanced information-sharing, coordination on visits to countries within their respective mandates, on facilitating and monitoring technical assistance, on relations with international and regional organizations and agencies and on other issues of relevance to all three committees, *expresses its*

intention to provide guidance to the committees on areas of common interest in order better to coordinate their efforts and facilitate such cooperation, and *requests* the Secretary-General to make the necessary arrangements for the groups to be co-located as soon as possible;

53. *Encourages* the Monitoring Team and the United Nations Office on Drugs and Crime, to continue their joint activities, in cooperation with CTED and 1540 Committee experts to assist Member States in their efforts to comply with their obligations under the relevant resolutions, including through organizing regional and subregional workshops;

54. *Requests* the Committee to consider, where and when appropriate, visits to selected countries by the Chair and/or Committee members to enhance the full and effective implementation of the measures referred to in paragraph 1 above, with a view to encouraging States to comply fully with this resolution and resolutions 1267 (1999), 1333 (2000), 1390 (2002), 1455 (2003), 1526 (2004), 1617 (2005), 1735 (2006), 1822 (2008) and 1904 (2009);

55. *Requests* the Committee to report orally, through its Chair, at least every 180 days to the Council on the state of the overall work of the Committee and the Monitoring Team, and, as appropriate, in conjunction with the reports by the Chairs of CTC and the Committee established pursuant to resolution 1540 (2004), and *further requests* the Chair to hold periodic briefings for all interested Member States;

Monitoring Team

56. *Decides*, in order to assist the Committee in fulfilling its mandate, as well as to support the Ombudsperson, to extend the mandate of the current New York-based Monitoring Team and its members, established pursuant to paragraph 7 of resolution 1526 (2004), for a further period of 18 months, under the direction of the Committee with the responsibilities outlined in annex I, and requests the Secretary-General to make the necessary arrangements to this effect;

57. *Directs* the Monitoring Team to review the Committee's procedures for granting exemptions pursuant to resolution 1452 (2002), and to provide recommendations for how the Committee can improve the process for granting such exemptions;

58. *Directs* the Monitoring Team to keep the Committee informed of instances of non-compliance with the measures imposed in this resolution, and *further directs* the Monitoring Team to provide recommendations to the Committee on actions taken to respond to non-compliance;

Reviews

59. *Decides* to review the measures described in paragraph 1 above with a view to their possible further strengthening in 18 months, or sooner if necessary;

60. *Decides* to remain actively seized of the matter.

Annex I

In accordance with paragraph 56 of this resolution, the Monitoring Team shall operate under the direction of the Committee and shall have the following responsibilities:

(a) To submit, in writing, two comprehensive, independent reports to the Committee, one by 31 March 2012, and the second by 31 October 2012, on implementation by Member States of the measures referred to in paragraph 1 of this resolution, including specific recommendations for improved implementation of the measures and possible new measures;

(b) To assist the Ombudsperson in carrying out his or her mandate as specified in Annex II of this resolution;

(c) To assist the Committee in regularly reviewing names on the Al-Qaida Sanctions List, including by undertaking travel and contact with Member States, with a view to developing the Committee's record of the facts and circumstances relating to a listing;

(d) To analyse reports submitted pursuant to paragraph 6 of resolution 1455 (2003), the checklists submitted pursuant to paragraph 10 of resolution 1617 (2005), and other information submitted by Member States to the Committee, as instructed by the Committee;

(e) To assist the Committee in following up on requests to Member States for information, including with respect to implementation of the measures referred to in paragraph 1 of this resolution;

(f) To submit a comprehensive program of work to the Committee for its review and approval, as necessary, in which the Monitoring Team should detail the activities envisaged in order to fulfil its responsibilities, including proposed travel, based on close coordination with CTED and the 1540 Committee's group of experts to avoid duplication and reinforce synergies;

(g) To work closely and share information with CTED and the 1540 Committee's group of experts to identify areas of convergence and overlap and to help facilitate concrete coordination, including in the area of reporting, among the three Committees;

(h) To participate actively in and support all relevant activities under the United Nations Global Counter-Terrorism Strategy including within the Counter-Terrorism Implementation Task Force, established to ensure overall coordination and coherence in the counter-terrorism efforts of the United Nations system, in particular through its relevant working groups;

(i) To assist the Committee with its analysis of non-compliance with the measures referred to in paragraph 1 of this resolution by collating information collected from Member States and submitting case studies, both on its own initiative and upon the Committee's request, to the Committee for its review;

(j) To present to the Committee recommendations, which could be used by Member States to assist them with the implementation of the measures referred to in paragraph 1 of this resolution and in preparing proposed additions to the Al-Qaida Sanctions List;

(k) To assist the Committee in its consideration of proposals for listing, including by compiling and circulating to the Committee information relevant to the proposed listing, and preparing a draft narrative summary referred to in paragraph 16;

(l) To bring to the Committee's attention new or noteworthy circumstances that may warrant a delisting, such as publicly-reported information on a deceased individual;

(m) To consult with Member States in advance of travel to selected Member States, based on its program of work as approved by the Committee;

(n) To coordinate and cooperate with the national counter-terrorism focal point or similar coordinating body in the country of visit, where appropriate;

(o) To encourage Member States to submit names and additional identifying information for inclusion on the Al-Qaida Sanctions List, as instructed by the Committee;

(p) To present to the Committee additional identifying and other information to assist the Committee in its efforts to keep the Al-Qaida Sanctions List as updated and accurate as possible;

(q) To study and report to the Committee on the changing nature of the threat of Al-Qaida and the best measures to confront it, including by developing a dialogue with relevant scholars and academic bodies, in consultation with the Committee;

(r) To collate, assess, monitor and report on and make recommendations regarding implementation of the measures, including implementation of the measure in paragraph 1 (a) of this resolution as it pertains to preventing the criminal misuse of the Internet by Al-Qaida, and other individuals, groups, undertakings and entities associated with it; to pursue case studies, as appropriate; and to explore in depth any other relevant issues as directed by the Committee;

(s) To consult with Member States and other relevant organizations, including regular dialogue with representatives in New York and in capitals, taking into account their comments, especially regarding any issues that might be contained in the Monitoring Team's reports referred to in paragraph (a) of this annex;

(t) To consult with Member States' intelligence and security services, including through regional forums, in order to facilitate the sharing of information and to strengthen enforcement of the measures;

(u) To consult with relevant representatives of the private sector, including financial institutions, to learn about the practical implementation of the assets freeze and to develop recommendations for the strengthening of that measure;

(v) To work with relevant international and regional organizations in order to promote awareness of, and compliance with, the measures;

(w) To assist the Committee in facilitating assistance on capacity building for enhancing implementation of the measures, upon request by Member States;

(x) To work with INTERPOL and Member States to obtain photographs of listed individuals for possible inclusion in INTERPOL Special Notices;

(y) To assist other subsidiary bodies of the Security Council, and their expert panels, upon request, with enhancing their cooperation with INTERPOL, referred to in resolution 1699 (2006);

(z) To report to the Committee, on a regular basis or when the Committee so requests, through oral and/or written briefings on the work of the Monitoring Team, including its visits to Member States and its activities;

(aa) To submit to the Committee within 90 days a written report and recommendations on linkages between Al-Qaida and those individuals, groups, undertakings or entities eligible for designation under paragraph 1 of resolution 1988 (2011), with a particular focus on entries that appear on both the Al-Qaida Sanctions List and the 1988 List, and thereafter submit such a report and recommendations periodically; and

(bb) Any other responsibility identified by the Committee.

Annex II

In accordance with paragraph 21 of this resolution, the Office of the Ombudsperson shall be authorized to carry out the following tasks upon receipt of a delisting request submitted by, or on behalf of, an individual, group, undertaking or entity on the Al-Qaida Sanctions List or by the legal representative or estate of such individual, group, undertaking or entity ("the petitioner").

The Council recalls that Member States are not permitted to submit delisting petitions on behalf of an individual, group, undertaking or entity to the Office of the Ombudsperson.

Information gathering (four months)

1. Upon receipt of a delisting request, the Ombudsperson shall:
 - (a) Acknowledge to the petitioner the receipt of the delisting request;
 - (b) Inform the petitioner of the general procedure for processing delisting requests;
 - (c) Answer specific questions from the petitioner about Committee procedures;
 - (d) Inform the petitioner in case the petition fails to properly address the original designation criteria, as set forth in paragraph 4 of this resolution, and return it to the petitioner for his or her consideration; and,
 - (e) Verify if the request is a new request or a repeated request and, if it is a repeated request to the Ombudsperson and it does not contain any additional information, return it to the petitioner for his or her consideration.
2. For delisting petitions not returned to the petitioner, the Ombudsperson shall immediately forward the delisting request to the members of the Committee, designating State(s), State(s) of residence and nationality or incorporation, relevant UN bodies, and any other States deemed relevant by the Ombudsperson. The Ombudsperson shall ask these States or relevant UN bodies to provide, within four months, any appropriate additional information relevant to the delisting request. The Ombudsperson may engage in dialogue with these States to determine:
 - (a) These States' opinions on whether the delisting request should be granted; and
 - (b) Information, questions or requests for clarifications that these States would like to be communicated to the petitioner regarding the delisting request, including any information or steps that might be taken by a petitioner to clarify the delisting request.
3. The Ombudsperson shall also immediately forward the delisting request to the Monitoring Team, which shall provide to the Ombudsperson, within four months:
 - (a) All information available to the Monitoring Team that is relevant to the delisting request, including court decisions and proceedings, news reports, and information that States or relevant international organizations have previously shared with the Committee or the Monitoring Team;

(b) Fact-based assessments of the information provided by the petitioner that is relevant to the delisting request; and

(c) Questions or requests for clarifications that the Monitoring Team would like asked of the petitioner regarding the delisting request.

4. At the end of this four-month period of information gathering, the Ombudsperson shall present a written update to the Committee on progress to date, including details regarding which States have supplied information. The Ombudsperson may extend this period once for up to two months if he or she assesses that more time is required for information gathering, giving due consideration to requests by Member States for additional time to provide information.

Dialogue (two months)

5. Upon completion of the information gathering period, the Ombudsperson shall facilitate a two-month period of engagement, which may include dialogue with the petitioner. Giving due consideration to requests for additional time, the Ombudsperson may extend this period once for up to two months if he or she assesses that more time is required for engagement and the drafting of the Comprehensive Report described in paragraph 7 below. The Ombudsperson may shorten this time period if he or she assesses less time is required.

6. During this period of engagement, the Ombudsperson:

(a) May ask the petitioner questions or request additional information or clarifications that may help the Committee's consideration of the request, including any questions or information requests received from relevant States, the Committee and the Monitoring Team;

(b) Should request from the petitioner a signed statement in which the petitioner declares that they have no ongoing association with Al-Qaida, or any cell, affiliate, splinter group, or derivative thereof, and undertakes not to associate with Al-Qaida in the future;

(c) Should meet with the petitioner, to the extent possible;

(d) Shall forward replies from the petitioner back to relevant States, the Committee and the Monitoring Team and follow up with the petitioner in connection with incomplete responses by the petitioner;

(e) Shall coordinate with States, the Committee and the Monitoring Team regarding any further inquiries of, or response to, the petitioner;

(f) During the information gathering or dialogue phase, the Ombudsperson may share with relevant States information provided by a State, including that State's position on the delisting request, if the State which provided the information consents;

(g) In the course of the information gathering and dialogue phases and in the preparation of the report, the Ombudsperson shall not disclose any information shared by a state on a confidential basis, without the express written consent of that state; and,

(h) During the dialogue phase, the Ombudsperson shall give serious consideration to the opinions of designating states, as well as other Member States that come forward with relevant information, in particular those Member States most affected by acts or associations that led to the original designation.

7. Upon completion of the period of engagement described above, the Ombudsperson, with the help of the Monitoring Team, shall draft and circulate to the Committee a Comprehensive Report that will exclusively:

(a) Summarize and, as appropriate, specify the sources of, all information available to the Ombudsperson that is relevant to the delisting request. The report shall respect confidential elements of Member States' communications with the Ombudsperson;

(b) Describe the Ombudsperson's activities with respect to this delisting request, including dialogue with the petitioner; and

(c) Based on an analysis of all the information available to the Ombudsperson and the Ombudsperson's recommendation, lay out for the Committee the principal arguments concerning the delisting request.

Committee discussion

8. After the Committee has had 15 days to review the Comprehensive Report in all official languages of the United Nations, the Chair of the Committee shall place the delisting request on the Committee's agenda for consideration.

9. When the Committee considers the delisting request, the Ombudsperson, aided by the Monitoring Team, as appropriate, shall present the Comprehensive Report in person and answer Committee members' questions regarding the request.

10. Committee consideration of the Comprehensive Report shall be completed no later than 30 days from the date the Comprehensive Report is submitted to the Committee for its review.

11. In cases where the Ombudsperson recommends retaining the listing, the requirement for States to take the measures in paragraph 1 of this resolution shall remain in place with respect to that individual, group, undertaking or entity, unless a Committee member submits a delisting request, which the Committee shall consider under its normal consensus procedures.

12. In cases where the Ombudsperson recommends that the Committee consider delisting, the requirement for States to take the measures described in paragraph 1 of this resolution shall terminate with respect to that individual, group, undertaking or entity 60 days after the Committee completes consideration of a Comprehensive Report of the Ombudsperson, in accordance with this annex II, including paragraph 6 (h), unless the Committee decides by consensus before the end of that 60 day period that the requirement shall remain in place with respect to that individual, group, undertaking or entity; *provided* that, in cases where consensus does not exist, the Chair shall, on the request of a Committee Member, submit the question of whether to delist that individual, group, undertaking or entity to the Security Council for a decision within a period of 60 days; and *provided further* that, in the event of such a request, the requirement for States to take the measures described in paragraph 1 of this resolution shall remain in force for that period with

respect to that individual, group, undertaking or entity until the question is decided by the Security Council.

13. If the Committee decides to reject the delisting request, then the Committee shall convey to the Ombudsperson its decision, setting out its reasons, and including any further relevant information about the Committee's decision, and an updated narrative summary of reasons for listing.

14. After the Committee has informed the Ombudsperson that the Committee has rejected a delisting request, then the Ombudsperson shall send to the petitioner, with an advance copy sent to the Committee, within fifteen days a letter that:

(a) Communicates the Committee's decision for continued listing;

(b) Describes, to the extent possible and drawing upon the Ombudsperson's Comprehensive Report, the process and publicly releasable factual information gathered by the Ombudsperson; and

(c) Forwards from the Committee all information about the decision provided to the Ombudsperson pursuant to paragraph 13 above.

15. In all communications with the petitioner, the Ombudsperson shall respect the confidentiality of Committee deliberations and confidential communications between the Ombudsperson and Member States.

Other Office of the Ombudsperson Tasks

16. In addition to the tasks specified above, the Ombudsperson shall:

(a) Distribute publicly releasable information about Committee procedures, including Committee Guidelines, fact sheets and other Committee-prepared documents;

(b) Where address is known, notify individuals or entities about the status of their listing, after the Secretariat has officially notified the Permanent Mission of the State or States, pursuant to paragraph 19 of this resolution; and

(c) Submit biannual reports summarizing the activities of the Ombudsperson to the Security Council.

بِسْمِ اللَّهِ الرَّحْمَنِ الرَّحِيمِ



وزارة المالية

السيد أبو اللاحق

24146 / 2 / 1 / 25 /

الرقم 2011/10/24

التاريخ

الموافق

9/10/2011

معالي رئيس هيئة الأوراق المالية
عطوفة محافظ البنك المركزي
عطوفة مدير عام دائرة الجمارك الأردنية
عطوفة مدير عام دائرة ضريبة الدخل والمبيعات
عطوفة مدير عام دائرة الأراضي والمساحة

أبعث إليكم بصورة عن كتاب معالي وزير الخارجية رقم
55357/4382/10 تاريخ 2011/10/12 ومرفقه نسخة من مذكرة الأمانة العامة
للأمم المتحدة والمتضمن طلب تزويدها بتفاصيل حول أية حصص أو مساهمات
مالية ليبية في الأردن والمدرجة ضمن قوائم الحظر الليبية في قراري مجلس
الأمن حول ليبيا وكذلك التدابير المتخذة من الحكومة الأردنية لضمان عدم
وصولها للأشخاص المدرجة أسماؤهم في قائمتي الحظر.

أرجو معاليكم/عطوفتكم التكرم بالإطلاع والإيعاز لإجراء ما يلزم.

واقبلوا فائق الاحترام،،

وزير المالية

هيئة الأوراق المالية البنكية الاسماء ٢٥ الرقم التسلسلي ٨٨٨١ القسم الجهة المختصة
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٨/١٠/٢٠١١

Heba47-ah



ناصر
عاجل

الرقم ٥٥٥٥٧ / ٤٣٣١ / ١٠
التاريخ ٢٠١١ / ١٠ / ٩
الموافق

معالي وزير المالية
معالي وزير الداخلية
معالي وزير العدل
معالي وزير الصناعة التجارة
معالي رئيس هيئة الأوراق المالية
عطوفة رئيس هيئة الأركان المشتركة
عطوفة محافظ البنك المركزي

لاحقاً لكتبي المتعددة والمنتهية بكتابي رقم ٥٤٤٤٣ / ٤٣٣١ / ١٠ تاريخ

٢٠١١ / ١٠ / ٩ .

أبعث إليكم صورة عن كتاب بعثتنا الدائمة في نيويورك رقم م أ/ف/٢/٢١١٨ تاريخ ٢٠١١/١٠/١١ ومرفقه نسخة من مذكرة الأمانة العامة للأمم المتحدة (منسق لجنة الخبراء حول ليبيا والمنشأة بقرار مجلس الأمن ١٩٧٣) والمتضمنة طلب تزويدها بتفاصيل حول أية حصص أو مساهمات مالية ليبية في الأردن والمدرجة ضمن قوائم الحظر الليبية في قراري مجلس الأمن حول ليبيا ، وكذلك التدابير المتخذة من الحكومة الأردنية لضمان عدم وصولها للأشخاص المدرجة أسماؤهم في قائمتي

وزارة المالية
الديوان العام
الهواجر : 31660
التاريخ : 2011/10/16
يحول إلى : مستشارين معالي الوزير
وتفضلوا بقبول فائق الاحترام ،،

وزير الخارجية

ناصر جوده

مدير إدارة العلاقات الدولية
والمنظمات
المستشارين معالي الوزير

ع.ب/ج.م/١٣٠/١١-١٠

المملكة الأردنية الهاشمية - عمان

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بسم الله الرحمن الرحيم



الجمهورية العربية السورية
دمشق

الرقم م/ف/٢/2118

التاريخ 2011/10/11

الموافق

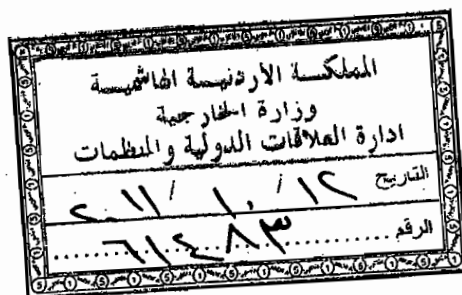
معالي وزير الخارجية
إدارة العلاقات الدولية والمنظمات

أرفق لكم نسخة من مذكرة الامانة العامة للامم المتحدة (منسق لجنة الخبراء حول ليبيا المنشأة بقرار مجلس الامن رقم 1973) المتضمنة طلب تزويدها بتفاصيل حول اية حصص او مساهمات مالية ليبية في الاردن (والمدرجة ضمن قوائم الحظر الليبية في قرار مجلس الامن حول ليبيا)، وكذلك التدابير المتخذة من الحكومة الاردنية لضمان عدم وصولها للاشخاص المدرجة اسماءهم في قائمتي الحظر.

راجياً معاليكم التكرم بالاطلاع والايجاز باجراء ما ترونه مناسباً.

وتفضلوا بقبول فائق الاحترام

المندوب الدائم
زيد بن رعد



نسخة: إدارة الشؤون القانونية.

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OUTGOING FACSIMILE

Handwritten notes in Arabic script, including the word 'معلومات' (Information) and a signature.

DATE: 30 September 2011

TO: Permanent Representative of Hashemite Kingdom of Jordan to the United Nations	FROM: Sana Khan, Secretary Security Council Committee established pursuant to resolution 1970 (2011) concerning the Libyan Arab Jamahiriya
FAX NO.: (212) 832-5346	FAX NO.: 212-963-1300, 212-963-3778
ATTN:	TEL.: Sana Khan (212) 963-0981 EMAIL: khan8@un.org Ma Da (212) 963-2957 da@un.org
TOTAL NUMBER OF TRANSMITTED PAGES INCLUDING THIS PAGE: 11	

Please find attached a letter dated 30 September 2011 from the Coordinator of the Panel of Experts on Libya (S/AC.52/2011/PE/OC.50) and its attachment.

Thank you and best regards.

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REFERENCE: S/AC.52/2011/PE/OC.50

30 September 2011

Excellency,

I have the honour to address you in my capacity as the Coordinator of the Panel of Experts on Libya established pursuant to Security Council resolution 1973 (2011).

As your Excellency is aware, the Panel has been tasked by resolution 1973 (2011) to assist the Security Council's Libya Sanctions Committee in carrying out its mandate and to gather, examine and analyse information from States, relevant United Nations bodies, regional organisations and other interested parties regarding the implementation of the relevant measures, in particular incidents of non-compliance.

During the course of the Panel's investigations into the implementation of resolutions 1970 (2011) and 1973 (2011), and in the course of examining the effects of the assets freeze, information has been received that indicates complete or partial ownership of a substantial amount of assets by persons and/or entities inscribed on the consolidated list of the Libya Sanctions Committee (attached herewith for ease of reference and also available at: <http://www.un.org/sc/committees/1970/index.shtml>). These assets are mainly in the form of companies and real estate, especially hotel developments, oil and telecommunication around the globe.

The Panel is keen to establish the extent and location of these assets, for the purpose of ensuring that they are dealt with in accordance with the relevant resolutions. Therefore, the Panel would be grateful to know details of any such assets that may exist in Jordan and of measures that have been taken by the Government of Jordan to ensure that these assets are not made available to or for the benefit of such listed persons.

The Panel would appreciate it if you could reply no later than 21 October 2011 via Ms. Sana Khan, Secretary of the Security Council Committee established pursuant to resolution 1970 (2011) concerning the Libyan Arab Jamahiriya: Room TB 08041B, United Nations, New York, NY 10017; Tel: +1-212-963-0981; Fax: +1-212-963-1300/3778; email: khan8@un.org.

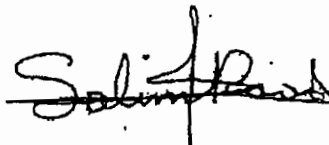
His Excellency
H.R.H. Prince Zeid Ra'ad Zeid Al-Hussein
Permanent Representative of the Hashemite Kingdom of Jordan
to the United Nations
New York

-2-

S/AC.52/2011/PE/OC.29

Should you wish to discuss the Panel's request or any other aspect of its work, please do not hesitate to contact me or Ms. Khan. The Panel greatly appreciates your assistance and look forward to working with you during the course of its mandate.

Please accept, Excellency, the assurances of my highest consideration.



Salim Raad
Coordinator

Panel of Experts on Libya
established pursuant to resolution 1973 (2011)
Cell: 1-917-628-4076; Fax: 1-212-963-1300
E-mail: raads@un.org

Updated: 16 September 2011

**SECURITY COUNCIL COMMITTEE ESTABLISHED PURSUANT TO
RESOLUTION 1970 (2011) CONCERNING THE LIBYAN ARAB JAMAHIRIYA**

LIST OF INDIVIDUALS AND ENTITIES

**List of Individuals Subject to the Measures Imposed by
Paragraph 15 of Resolution 1970 (2011) (the Travel Ban) and/or
Paragraph 17 of Resolution 1970 (2011) or Paragraph 19 of Resolution 1973 (2011)
(the Assets Freeze)**

On 26 February 2011, the Security Council adopted resolution 1970 (2011), paragraph 15 of which reads as follows:

"Travel ban

15. *Decides* that all Member States shall take the necessary measures to prevent the entry into or transit through their territories of individuals listed in Annex I of this resolution or designated by the Committee established pursuant to paragraph 24 below, provided that nothing in this paragraph shall oblige a State to refuse its own nationals entry into its territory;"

Exemptions to the travel ban are set out in paragraph 16 of the same resolution.

Paragraph 17 of resolution 1970 (2011) reads as follows:

"Asset freeze

17. *Decides* that all Member States shall freeze without delay all funds, other financial assets and economic resources which are on their territories, which are owned or controlled, directly or indirectly, by the individuals or entities listed in annex II of this resolution or designated by the Committee established pursuant to paragraph 24 below, or by individuals or entities acting on their behalf or at their direction, or by entities owned or controlled by them, and decides further that all Member States shall ensure that any funds, financial assets or economic resources are prevented from being made available by their nationals or by any individuals or entities within their territories, to or for the benefit of the individuals or entities listed in Annex II of this resolution or individuals designated by the Committee;"

Exemptions to the assets freeze are set out in paragraphs 19, 20 and 21 of the same resolution.

Updated: 16 September 2011

Subsequently, on 17 March 2011, the Security Council adopted resolution 1973 (2011), paragraph 19 of which reads as follows:

"Asset freeze

19. *Decides* that the asset freeze imposed by paragraph 17, 19, 20, and 21 of resolution 1970 (2011) shall apply to all funds, other financial assets and economic resources which are on their territories, which are owned or controlled, directly or indirectly, by the Libyan authorities, as designated by the Committee, or by individuals or entities acting on their behalf or at their direction, or by entities owned or controlled by them, as designated by the Committee, and *decides further* that all States shall ensure that any funds, financial assets or economic resources are prevented from being made available by their nationals or by any individuals or entities within their territories, to or for the benefit of the Libyan authorities, as designated by the Committee, or individuals or entities acting on their behalf or at their direction, or entities owned or controlled by them, as designated by the Committee, and directs the Committee to designate such Libyan authorities, individuals, or entities within 30 days of the date of the adoption of this resolution and as appropriate thereafter;"

The following individuals are listed as subject to the travel ban:

Number	Name	Justification	Identifiers
1.	Al-Baghdadi, Dr Abdulqader Mohammed (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970).	Head of the Liaison Office of the Revolutionary Committees. Revolutionary Committees involved in violence against demonstrators.	Passport number: BQ10574 DOB: 1 July 1950
2.	Dibri, Abdulqader Yusef (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970)	Head of Muammar Qadhafi's personal security. Responsibility for regime security. History of directing violence against dissidents.	DOB: 1946 POB: Houn, Libya
3.	Qadhaf Al-dam, Sayyid Mohammed (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970)	Cousin of Muammar Qadhafi. In the 1980s, Sayyid was involved in the dissident assassination campaign and allegedly responsible for several deaths in Europe. He is also thought to have been involved in arms procurement.	DOB: 1948 POB: Sirte, Libya

Updated: 16 September 2011

Number	Name	Justification	Identifiers
4.	QUREN SALIH QUREN AL QADHAFI (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970)	Libyan Ambassador to Chad. Has left Chad for Sabha. Involved directly in recruiting and coordinating mercenaries for the regime.	
5.	Colonel AMID HUSAIN AL KUNI (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970)	Governor of Ghat (South Libya). Directly involved in recruiting mercenaries.	

The following individuals are listed as subject to both the travel ban and the assets freeze:

Number	Name	Justification	Identifiers
1.	Dorda, Abu Zayd Umar (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)	Position: Director, External Security Organisation. Regime loyalist. Head of external intelligence agency.	
2.	Jabir, Major General Abu Bakr Yunis (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970).	Position: Defence Minister. Overall responsibility for actions of armed forces.	Title: Major General DOB: 1952 POB: Jalb, Libya

Updated: 16 September 2011

Number	Name	Justification	Identifiers
3.	<p>Matuq, Matuq Mohammed</p> <p>(Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)</p>	<p>Position: Secretary for Utilities. Senior member of regime. Involvement with Revolutionary Committees. Past history of involvement in suppression of dissent and violence.</p>	<p>DOB: 1956</p> <p>POB: Khoms, Libya</p>
4.	<p>Qadhafi, Aisha Muammar</p> <p>(Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)</p>	<p>Daughter of Muammar Qadhafi. Closeness of association with regime.</p>	<p>DOB: 1978</p> <p>POB: Tripoli, Libya</p>
5.	<p>Qadhafi, Hannibal Muammar</p> <p>(Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)</p>	<p>Son of Muammar Qadhafi. Closeness of association with regime.</p>	<p>Passport number: B/002210</p> <p>DOB: 20 September 1975</p> <p>POB: Tripoli, Libya</p>
6.	<p>Qadhafi, Khemis Muammar</p> <p>(Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)</p>	<p>Son of Muammar Qadhafi. Closeness of association with regime. Command of military units involved in repression of demonstrations.</p>	<p>DOB: 1978</p> <p>POB: Tripoli, Libya</p>

Updated: 16 September 2011

Number	Name	Justification	Identifiers
7.	Qadhafi, Mohammed Muammar (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)	Son of Muammar Qadhafi. Closeness of association with regime	DOB: 1970 POB: Tripoli, Libya
8.	Qadhafi, Muammar Mohammed Abu Minyar (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)	Leader of the Revolution, Supreme Commander of Armed Forces. Responsibility for ordering repression of demonstrations, human rights abuses.	DOB: 1942. POB: Sirte, Libya
9.	Qadhafi, Mutassim (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)	National Security Adviser. Son of Muammar Qadhafi. Closeness of association with regime.	DOB: 1976. POB: Tripoli, Libya.
10.	Qadhafi, Saadi (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)	Commander Special Forces. Son of Muammar Qadhafi. Closeness of association with regime. Command of military units involved in repression of demonstrations	Passport number: 014797 DOB: 27 May 1973 POB: Tripoli, Libya
11.	Qadhafi, Saif al-Arab (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)	Son of Muammar Qadhafi. Closeness of association with regime	DOB: 1982 POB: Tripoli, Libya

Updated: 16 September 2011

Number	Name	Justification	Identifiers
12.	Qadhafi, Saif al-Islam (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)	Director, Qadhafi Foundation. Son of Muammar Qadhafi. Closeness of association with regime. Inflammatory public statements encouraging violence against demonstrators.	Passport number: B014995 DOB: 25 June 1972 POB: Tripoli, Libya
13.	Al-Senussi, Colonel Abdullah (Listed on 26 February 2011 pursuant to paragraph 15 of resolution 1970; on 17 March 2011 pursuant to paragraph 17 of resolution 1970)	Position: Director Military Intelligence. Military Intelligence involvement in suppression of demonstrations. Past history includes suspicion of involvement in Abu Selim prison massacre. Convicted in absentia for bombing of UTA flight. Brother-in-law of Muammar Qadhafi.	Title: Colonel DOB: 1949. POB: Sudan
14.	AL-BARASSI, Safia Farkash (Listed on 24 June 2011 pursuant to paragraph 15 of resolution 1970 and paragraph 19 of resolution 1973)	Married to Muammar QADHAFI since 1970. Owner of Buraq Air (airline company) headquartered in the Mittiga International Airport in Tripoli. Farkash operates the company with the approval of her husband. Significant personal wealth, which could be used for regime purposes. Her sister Fatima FARKASH is married to ABDALLAH SANUSSI, head of Libyan military intelligence.	DOB: 1952 POB: Al Bayda, Libya
15.	ZLITNI, Abdelbaziz (Listed on 24 June 2011 pursuant to paragraph 15 of resolution 1970 and paragraph 19 of resolution 1973)	Minister for Planning and Finance in Colonel Qadhafi's Government; involved in violence against demonstrators. Secretary of the General People's Committee for Finance and Planning. Zitini is currently acting as temporary head of the Central Bank of Libya. He was previously National Oil Corporation Chairman. Our information suggests that he is engaged in trying to raise funds for to replenish Central Bank reserves spent on sustaining the current military campaign.	DOB: 1935

Updated: 16 September 2011

**List of Entities Subject to the Measures Imposed by
Paragraph 17 of Resolution 1970 (2011) or Paragraph 19 of Resolution 1973 (2011),
as Modified by Paragraph 15 of resolution 2009 (2011) (the Assets Freeze)**

On 16 September 2011, the Security Council adopted resolution 2009 (2011), paragraph 15 of which reads:

"Asset Freeze

...

15. Decides to modify the measures imposed in paragraphs 17, 19, 20 and 21 of resolution 1970 (2011) and paragraph 19 of resolution 1973 (2011) with respect to the Central Bank of Libya, the Libyan Arab Foreign Bank (LAFB), the Libyan Investment Authority (LIA), and the Libyan Africa Investment Portfolio (LAIP) as follows:

(a) funds, other financial assets and economic resources outside of Libya of the entities mentioned in this paragraph above that are frozen as of the date of this resolution pursuant to measures imposed in paragraph 17 of resolution 1970 (2011) or paragraph 19 of resolution 1973 (2011) shall remain frozen by States unless subject to an exemption as set out in paragraphs 19, 20 or 21 of that resolution or paragraph 16 below;

(b) except as provided in (a), the Central Bank of Libya, the LAFB, the LIA, and the LAIP shall otherwise no longer be subject to the measures imposed in paragraphs 17 of resolution 1970 (2011), including that States are no longer required to ensure that any funds, financial assets or economic resources are prevented from being made available by their nationals or by any individuals or entities within their territories, to or for the benefit of these entities;"

An additional exemption to the asset freeze, as modified, is set out in paragraph 16 of the same resolution.

The following entities are listed as subject to the assets freeze, as modified:

Number	Name	Justification	Identifiers
1.	Central Bank of Libya (Listed on 17 March 2011 pursuant to paragraph 17 of resolution 1973, as modified on 16 September pursuant to paragraph 15 of resolution 2009)	Under control of Muammar Qadhafi and his family, and potential source of funding for his regime.	

Updated: 16 September 2011

2.	Libyan Investment Authority (Listed on 17 March 2011 pursuant to paragraph 17 of resolution 1973, as modified on 16 September pursuant to paragraph 15 of resolution 2009)	Under control of Muammar Qadhafi and his family, and potential source of funding for his regime.	a.k.a: Libyan Arab Foreign Investment Company (LAFICO) Address: 1 Fateh Tower Office, No 99 22nd Floor, Borgaida Street, Tripoli, Libya, 1103
3.	Libyan Foreign Bank (Listed on 17 March 2011 pursuant to paragraph 17 of resolution 1973, as modified on 16 September pursuant to paragraph 15 of resolution 2009)	Under control of Muammar Qadhafi and his family and a potential source of funding for his regime.	
4.	Libyan Africa Investment Portfolio (Listed on 17 March 2011 pursuant to paragraph 17 of resolution 1973, as modified on 16 September pursuant to paragraph 15 of resolution 2009)	Under control of Muammar Qadhafi and his family, and potential source of funding for his regime.	Address: Jamahiriya Street, LAP Building, PO Box 91330, Tripoli, Libya